

### Remarks

Claims 1-35 are pending in the application. Claims 1-35 stand rejected under 35 U.S.C. § 103(a). Claims 1, 3-5, 7-9, 11-13, 15-17, 19-21 and 29 have been amended. No new matter has been added. In view of the following remarks, reconsideration and withdrawal of this grounds for rejection is requested.

### Claim Rejections Under 35 U.S.C. § 103

Claims 1-35 stand rejected under 35 U.S.C. § 103 (a) as being unpatentable over Bapat (U.S. Pat. No. 6,038,563) in view of David A. Soloman (Inside Windows NT, Second Edition). For the reasons set forth below, this ground of rejection is respectfully traversed.

Claim 1 has been amended. Claim 1 now states:

1. A method of monitoring a registry comprising:  
requesting a handle for a registry key to a calling process;  
requesting a registry key value for the handle; and  
obtaining security clearance to complete the requests, wherein at least one security clearance parameter has an adjustable threshold, said threshold being adjustable by a system command in association with one or more of the requests. [Emphasis Added]

As such, claim 1 requires that the at least one security clearance parameter has a threshold that is adjustable by a system command in association with one or more of the requests. Therefore, the method for obtaining security clearance is dynamic in that each request by a user for access may adjust the threshold of at least one security clearance parameter for the next user attempting to access the registry. Support for this clarification of claim 1 may be found in the specification, which states that “[t]he command center may block clipboard access and terminate applications at the request of a permissions device driver when permissions expire...File permissions may include, but are not limited to, length of time or number of times a file may be open, date after which a file may no longer be opened, and printing and clipboard

permissions. [Specification, pg. 5, lines 6-12]. The specification further states that “[t]he secured process list is continually updated as processes successfully request secured data from the hook driver and process quit calls are initiated.” [Specification, pg. 6, lines 3-5].

The Examiner correctly points out that Bapat does not disclose or suggest updating a security clearance parameter. Soloman describes various components and databases that implement Windows NT security. However, in sharp contrast to claim 1, the security privileges described in Soloman are pre-assigned and, as such, the security system in Soloman cannot be regulated based on the user inputs that it receives. It appears that according to Soloman, the security privileges must be preprogrammed in order to adjust security access to the system. Further, Soloman teaches away from continually updating access rights by stating that, “Once a process successfully opens a handle, the security system can’t revoke the access rights that have been granted... This capability would require a complete security check each time a handle is used rather than only when the handle is originally created.” [Soloman, Pg. 314.] As such, Soloman teaches completing a security check only when access rights are originally determined rather than on a continually updated basis. Therefore, Bapat in view of Soloman cannot render claim 1 unpatentable. Claims 2-4 depend from claim 1. Further, independent claims 5, 9, 13, 17, 21 and 29 have been amended similarly to claim 1. Claims 6-8 depend from claim 5, claims 10-12 depend from claim 9, claims 14-16 depend from claim 13, claims 18-20 depend from claim 17, claims 22-28 depend either directly or indirectly from claim 21 and claims 30-35 depend either directly or indirectly from claim 29. As such, claims 1-35 cannot be rendered unpatentable by Bapat in view of Soloman.

**Conclusion**

In view of the foregoing remarks, Applicants submit that this application is in condition for allowance at an early date, which action is earnestly solicited.

Respectfully submitted,



---

Paul A. Taufer  
Reg. No. 35,703  
Andrew A. Noble  
Reg. No. 48,651

AAN:mnr  
(215) 656-3303